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10 **TITLE OF INVENTION:**

**SYSTEM AND METHOD FOR EVENT AND MICRO-BRANCH
MISPREDICTION RECOVERY**

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EVENT AND MICRO-BRANCH MISPREDICTION
RECOVERY

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5 Field Of The Invention

The present invention is directed to improvements to an instruction pipeline in a microprocessor. In particular, the present invention is directed to a system and method for event and micro-branch misprediction recovery in an instruction pipeline.

10 Background Information

Modern microprocessors include instruction pipelines in order to increase program execution speeds. Instruction pipelines typically include a number of units, each unit operating in cooperation with other units in the pipeline. One exemplary pipeline, found in, for example, Intel's Pentium® Pro microprocessor, includes an instruction fetch unit (IFU), an instruction decode unit (ID), a micro-code sequencer (MS), an allocation unit (ALLOC), an instruction execution unit (EX) and a write back unit (WB). The instruction fetch unit fetches program instructions. The instruction decode unit decodes macro-code instructions into a set number of micro-ops. However, if the macro-code instruction decodes into an a number of micro-ops that is greater than the set number, control is passed to the micro-code sequencer. The micro-code sequencer then provides the remaining micro-ops. The micro-code sequencer is also responsible for providing instructions to the execution unit when the processor must execute micro-code, for example, during event recovery. The allocation unit assigns a sequence number to each micro-op and stores each micro-op in an instruction pool. The execution unit executes the micro-ops. Finally, the write back unit retires instructions.

During operation, the execution unit executes the micro-ops in the instruction pool in any order possible as data and execution units required for each micro-op becomes available. If the processor "events" while retiring an instruction, that is, something occurs in the 30 microprocessor that requires the microprocessor to discontinue processing the instructions from the current instruction path, and instead execute specific micro-code instructions, the microprocessor must have a fast way to recover the proper machine state.

Accordingly, for each micro-op it processes, the allocation unit stores in a branch 35 information table (BIT) a pointer to the next linear macro-code instruction (NLIP). Then, when the microprocessor events, the retirement logic determines the appropriate

instruction address with which to restart the instruction pipeline, e.g., the instruction pointed to by the NLIP or another address.

In the Intel Pentium® Pro microprocessor, if the micro-code sequencer determines that
5 the instruction pipeline should be restarted at the current instruction, the address of the current instruction must be calculated, i.e., the address of the current instruction is NLIP minus the length of the current instruction. Since macro-code instructions are not a uniform length, the instruction length of each instruction is passed in a data path, along with the instruction itself. Moreover, in the Intel Pentium® Pro microprocessor, other information needed by the micro-code sequencer, such as, for example, an indication as
10 to whether a particular micro-op originated from the micro-code sequencer or another pipeline unit, is also transmitted in a dedicated data path. Data paths in microprocessors are an expensive resource. Accordingly, there is a need to reduce the number of data paths associated with the instruction pipeline.

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Summary Of The Invention

In accordance with an exemplary embodiment of the present invention, a system for storing information in an instruction pipeline is provided, the instruction pipeline including a number of pipeline units. One pipeline unit decodes a macro-code instruction into a number of micro-ops. A second pipeline unit assigns a first identifier to each of the
20 micro-ops. The system includes a register for storing information related to the macro-code instruction. The system also includes a table having a number of entries. Each of the entries in the table receives the stored information from the first register. Additionally, each of the table entries corresponds to a one of the micro-ops. The table
25 entries are indexed by the identifier assigned to corresponding micro-op.

Brief Description Of The Drawings

Fig. 1 is a block diagram of an exemplary instruction pipeline in accordance with the
30 present invention.

Fig. 2 illustrates the format of an exemplary trace branch information table.

Fig. 3 is a flow diagram of the exemplary embodiment of the present invention.

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Fig. 4 is a flowchart of event recovery processing in accordance with the exemplary embodiment of the present invention.

5 Fig. 5 is a flowchart of micro-branch misprediction recovery processing in accordance with the exemplary embodiment of the present invention.

Detailed Description

Instruction Pipeline Overview: Referring now to the drawings, and initially to Fig. 1, there is illustrated an exemplary embodiment of the present invention. This embodiment illustrates the present invention as applied to, for example, an instruction pipeline for processing Intel Architecture (i.e., x86) instructions (e.g., IA-32). As a person of ordinary skill in the art will understand, however, the present invention may be applied to instruction pipelines of other processor architectures, such as, for example, RISC and CISC architectures, or any processor architecture that includes the use of an instruction pipeline.

As illustrated in Fig. 1, in the exemplary embodiment of the present invention, the instruction pipeline 100 includes seven major stages or units, although each of the pipeline stages or units may actually be comprised of a number sub-stages. As illustrated, the instruction pipeline 100 includes an instruction fetch unit (IFU) 110, an instruction decode unit (ID) 120, a trace cache unit (TC) 130, a micro-code sequencer (MS) 135, an allocation unit (ALLOC) 140, an execution unit (EX) 150, and a write-back unit (WB) 160. It will be understood, however, that the number of pipeline units in the pipeline 100 (and, the function of each unit, for that matter) may be different than that described in connection with the exemplary embodiment, depending on, for example, the microprocessor architecture.

The instruction fetch unit 110 fetches, for example, program macro-code instructions from memory 111 (e.g., main memory, cache memory, or any other storage or memory device) and pushes the fetched instructions into the pipeline 100 to the next downstream pipeline unit. Although in this exemplary embodiment, macro-code instructions are Intel Architecture instructions, other instruction types such as, for example, RISC or CISC instructions, or any other type of instruction may instead be fetched.

35 During operation, the instruction fetch unit 110 fetches instructions from memory 111 in order to provide the pipeline 100 with a stream of instructions. If a fetched instruction is

a branch instruction, the instruction fetch unit **110** must determine whether to next fetch the instruction at the next sequential address, or the instruction at the branch target address. Accordingly, the instruction fetch unit **110** uses branch prediction circuitry (BTB) **113**, to predict whether or not a branch instruction will be taken or not taken. If
5 the branch is predicted as "taken," the instruction fetch unit **110** fetches the instruction at the branch target address. If the branch is predicted as "not taken," the instruction fetch unit **110** fetches the next sequential instruction. In any event, the instruction fetch unit **110** pushes the fetched macro-code instruction to the next downstream pipeline unit.

10 The instruction decode unit **120** receives the macro-code instruction from the instruction fetch unit **110** in first-in, first-out (FIFO) order. The instruction decode unit **120** then decodes the macro-code instructions into, for example, fixed-length RISC instructions called micro-ops or uops. Each macro-code instruction may decode to one or a number of micro-ops. Each of these micro-ops is assigned an identifier, e.g., a sequence number,
15 by the allocation unit **140**, and each is temporarily stored in an instruction pool **141**. Of course, as will be understood by a person of ordinary skill in the art, in some microprocessor architectures, instructions do not require decoding. In a pipeline in such a system, therefore, an instruction decoder (i.e., instruction decode unit **120**), for example, would not be needed.

20 If instruction decode unit **120** receives a macro-code instruction from instruction fetch unit **110** that is complex, for example, a macro-code instruction that decodes to more than four micro-ops, instruction decode unit **120** provides only the first four micro-ops. Micro-code sequencer **135** then provides the remaining micro-ops. (Also, as illustrated in
25 Fig. 1, micro-ops provided to instruction pool **141** by instruction decode unit **130** pass through micro-code sequencer **135**.)

Micro-code sequencer **135** transforms all complex macro-code instructions into a corresponding set of micro-ops (the corresponding micro-ops are retrieved, for example, from a ROM **135a** illustrated in Fig. 3). In certain cases, if the decoded macro-code instruction includes a micro-branch instruction (a micro-op that is of branch type), micro-code sequencer **135** predicts branch direction, i.e., whether the branch will be taken or not taken. In the exemplary embodiment, micro-code sequencer **135** may make "static" branch predictions. In particular, micro-code sequencer **135** may always predict, for example, that an unconditional micro-branch will be taken, an conditional backward micro-branch will be taken, and a conditional forward micro-branch will not be taken.
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Micro-code sequencer 135 then transmits to allocation unit 140 only those micro-ops along the predicted path.

In the exemplary embodiment of the present invention, instruction pipeline 100 includes an additional source of program instructions. In particular, trace cache unit 130 stores instruction sequences in the form of micro-ops (i.e., instruction traces) in high speed cache memory in order to later provide these instructions to allocation unit 140 for execution by execution unit 150. The structure and operation of decoded cache unit 130 is described in further detail in U.S. Patent No. 5,381,533 to Peleg et al.

Decoded cache unit 130 controls whether the source for instructions entering instruction pool 141 is instruction fetch unit 110 (via instruction decode unit 120) or decoded cache unit 130. In particular, decoded cache unit 130 continuously snoops the instruction path 136 between micro-code sequencer 135 and allocation unit 140. If decoded cache unit 130 recognizes that a particular instruction detected along the snooped instruction path 132 corresponds to a "trace head" (i.e., the first instruction in an instruction trace) are stored at decoded cache unit 130 (i.e., a decoded cache hit), decoded cache unit 130 signals instruction fetch unit 110 to discontinue fetching instructions. Instead, decoded cache unit 130 provides the appropriate instructions to allocation unit 140 from its cache memory. When decoded cache unit 130 detects that further necessary instructions are not in cache (i.e., a decoded cache miss), decoded cache unit 130 instructs instruction fetch unit 110 to recommence fetching instructions at an address provided by decoded cache unit 130. Decoded cache unit 130 then discontinues providing instructions to allocation unit 140.

In the exemplary embodiment of the present invention, execution unit 150 obtains instructions from the instruction pool 141. Execution unit 150 executes the micro-ops in the instruction pool 141 in any order possible as data and execution units required for each micro-op becomes available. Accordingly, execution unit 150 is an out-of-order (OOO) portion of the pipeline. In other microprocessor architectures, pipeline 100 could include, for example, an execution unit that processes instructions in-order, or in some predetermined order.

Finally, write back unit 160 "retires" each executed micro-op. That is, write back unit 160 commits the result of each micro-op execution to the processor's register set in the order of original program flow. Thus, write back unit 160 is an in-order rear end of the

pipeline. Of course, in a microprocessor architecture in which instructions are executed in an in-order sequence, the instructions may not need to be "retired," thus, pipeline 100 may not include a write back unit.

5 In accordance with the present invention, certain information regarding each micro-op processed in instruction pipeline 100 is stored in a table. This information may be later used by machine micro-code, for example, during event recovery or during micro-branch misprediction recovery. In accordance with the present invention, a trace branch information table (TBIT) 142 stores information for each micro-op processed. Fig. 2
10 illustrates the format of an exemplary TBIT 142.

15 **TBIT Format:** Referring now to Fig. 2, each entry 210 in TBIT 142 includes i) a sequence number field 215; ii) an NLIP field 220; iii) a BLIP field 225; iv) a branch prediction field 230; v) an IPdelta field 235; vi) a uip field 240; and vii) an MSIIssue field 245. Each entry 210 corresponds to, for example, one micro-op.

As described above, allocation unit 140 assigns a sequence number to each micro-op. After each micro-op is assigned a sequence number, TBIT 142 stores in sequence number field 215 the sequence number assigned to the current micro-op. Since the sequence number uniquely identifies each micro-op, the sequence number field 215 may be used for indexing TBIT 142. In an alternate embodiment, the sequence number is not stored in TBIT 142, and is simply used as an index into the table.

25 In NLIP field 220, the address of the next linear macro-code instruction (NLIP), relative to the macro-code instruction associated with the current micro-op is stored.

If the macro-code instruction associated with the current micro-op is a branch instruction, the branch target address (BLIP) is stored in BLIP field 225. Otherwise this field is filled in with "don't cares" (e.g., zeroes).

30 Additionally, if the macro-code instruction associated with the current micro-op is a branch instruction, a branch prediction bit is stored in branch prediction field 230. For example, the branch prediction bit indicates whether the branch was predicted as upstream prediction circuitry as taken or not taken ("1" or "0", respectively).

The length of the macro-code instruction associated with the current micro-op is stored in IPdelta field 235. Thus, the address of the macro-code instruction associated with the current micro-op may be determined by subtracting this length from the value stored in NLIP field 220, i.e., current macro-code instruction address = NLIP - IPdelta. In an 5 alternative embodiment, a current linear address pointer (CLIP) may be stored instead of the NLIP. In that case, the next linear address (i.e., the NLIP) may be calculated by adding the IPdelta to the CLIP.

In the exemplary embodiment of the present invention, the MSissue field 245 is a single 10 bit field. If the micro-op originated from micro-code sequencer 135, the bit is turned on (i.e., "1"). Otherwise, the bit is turned off (i.e., "0"), indicating that the micro-op originated either from instruction decode unit 120 or decoded cache unit 130.

If the bit in MSissue field 245 is turned on, a pointer to the current micro-op is stored in 15 the uip field 240.

TBIT Maintenance: Fig. 3 illustrates in detail portions of instruction pipeline 100 pertinent to the maintenance and use of TBIT 142. As illustrated, in accordance with the exemplary embodiment of the present invention, a recirculation register 310 is provided. 20 Recirculation register 310 is coupled to path 136 (between micro-code sequencer 135 and allocation unit 140). Recirculation register 310 is also coupled to TBIT 142 through a multiplexer 315. Allocation unit 140 is coupled to TBIT 142 through multiplexer 315.

In operation, information that is common to all of the micro-ops associated with a 25 particular macro-code instruction is stored in recirculation register 310. In particular, for each decoded macro-code instruction, micro-code sequencer 135 provides the NLIP, IPdelta, BLIP, branch prediction bit, and MSissue along path 136. This information is stored by recirculation register 310, for example, under control of micro-code sequencer 135. Then, for each of the micro-ops associated with the macro-code instruction, 30 allocation unit 140 provides to multiplexer 315 any information unique to that particular micro-op such as, for example, uip and sequence number. micro-code sequencer 135 then controls multiplexer 315 in such a manner as to provide the information from recirculation register 310, and the information from allocation unit 140 to TBIT 142.

35 Accordingly, in operation, recirculation register 310 may be loaded, for example, only one time for each macro-code instruction processed. If a macro-code instruction decodes

to several micro-ops, the micro-op specific information (e.g., sequence number and uip) is provided to multiplexor 315 as allocation unit 140 assigns each sequence number. Thus, one entry is stored for each micro-op of a macro-code instruction. Moreover, each entry associated with a particular macro-code instruction has, for example, the same information stored in NLIP field 220, BLIP field 225, branch prediction bit field 230, IPdelta field 235 and MSissue 245. Only the information in the sequence number field 215 and uip field 240 are different.

Alternatively, it is possible for certain groups of instructions to map to the same entry in the table, for example, if the data is the same for each of the instructions

Additionally, as illustrated in Fig. 3, recirculation register 310 is loaded with information from TBIT 142 during both event recovery and micro-branch recovery as is described in further detail below.

Pipeline 100 also includes two additional registers, an event register 320 and a micro-branch register 330. Each of these registers are coupled to TBIT 142 and to micro-code sequencer 135. event register 320 and micro-branch register 330 are loaded with information from TBIT 142 during event recovery and micro-branch misprediction recovery, respectively.

Event Recovery: The flowchart of Fig. 4 shows an exemplary process performed in connection with TBIT 142 during event recovery. Assume that the processor events on a particular micro-op. Write back unit 160 detects the event and provides the sequence number of the "current" micro-op (i.e., the micro-op that was next to be retired) to TBIT 142 (step 405). TBIT 142 then loads event register 320 (step 410) and recirculation register 310 (step 415) with information pertinent to the instruction upon which the processor evented. In particular, in the exemplary embodiment, upon the occurrence of an event, TBIT 142 reads the entry 210 associated with the micro-op upon which the processor evented. The appropriate entry 210 is selected by comparing the sequence number of the micro-op that eventED to the sequence numbers in the sequence number field 215. The selected entry 210 is then loaded into event register 320 (step 410). Simultaneously, the recirculation register 310 is loaded with information from selected fields of the TBIT 142 entry (step 415). For example, the NLIP, BLIP, branch prediction bit, IPdelta and MSISUE are loaded into recirculation register 310 in this embodiment.

Next, micro-code sequencer 135 provides the appropriate event recovery micro-code to allocation unit 140 for execution (step 420). In particular, micro-code sequencer 135 provides to allocation unit 140 particular micro-ops associated with event recovery.

Allocation unit 140 assigns each micro-op a sequence number, and transmits each sequence number and uip to multiplexer 315. For each micro-op, micro-code sequencer 135 controls the multiplexer 315 in such a manner as to store the information from recirculation register 310 and the information provided by allocation unit 140 (sequence number and uip) in individual entries in TBIT 142. This stored information may be needed if the processor events on one of the micro-code micro-ops.

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After the event recovery micro-code is executed, micro-code sequencer 135 reads the information stored in the event register 320 and determines which macro-code instruction should be executed once the machine recovers (step 425). In particular, if the event is a fault condition (e.g., a hardware problem is detected), and MSissue= "0" (i.e., the instruction which evented did not originate from micro-code sequencer 135) the micro-code may determine, for example, that the current macro-instruction should be re-executed. The micro-code then calculates the address of the current instruction from information stored in event register 320, e.g., NLIP - IPdelta. This address is then transmitted to instruction fetch unit 110, and the pipeline is restarted.

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If the event is a trap condition (e.g., an automatic procedure call initiated by some condition, such as, for example, an overflow condition), and MSissue="0," the micro-code may determine, for example, that the current instruction has completed and that the next instruction should be executed. In particular, if the macro-instruction upon which the machine evented is a branch instruction (the BLIP field has a well defined value), and the branch instruction was predicted as taken (as indicated by the branch prediction bit), the micro-code transmits BLIP (i.e., the branch target address) to instruction fetch unit 110, for example. If the macro-instruction is not a branch instruction or the macro-instruction is not a branch instruction predicted as taken, NLIP is transmitted to instruction fetch unit 110.

If, however, MSissue=1, i.e., the instruction originated from micro-code sequencer 135, the micro-code utilizes the uip to restart.

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In any case, once the micro-code makes the determination as to which instruction should be executed next, micro-code sequencer 135 utilizes the information in the event register

320 to determine the appropriate instruction address. The instruction address is then provided to instruction fetch unit 110 (or the decoded cache unit 130) so that instruction fetch unit 110 (or the decoded cache unit 130) can fetch the macro-code instruction.

5 **Micro-Branch Misprediction Recovery:** Turning now to the flowchart of Fig. 5, the process performed in connection with TBIT 142 during micro-branch misprediction recovery is illustrated. As described above, for certain micro-branch instructions, micro-code sequencer 135 makes branch direction predictions. Micro-code sequencer 135 then provides allocation unit 140 with micro-ops along only the predicted instruction path.

10 Accordingly, it is possible that micro-code sequencer 135 mispredicted a micro-branch instruction.

Assume that execution unit 150 detects a micro-branch misprediction (step 505).

Execution unit 150 provides the sequence number of the mispredicted branch instruction to TBIT 142 (step 505). TBIT 142 then loads micro-branch register 330 (step 510) and recirculation register 310 (step 520) with information pertinent to mispredicted micro-branch instruction. In particular, in the exemplary embodiment, TBIT 142 reads the entry 210 associated with the mispredicted micro-branch instruction. The appropriate entry 210 is selected by comparing the sequence number of the mispredicted micro-branch instruction to each of the sequence numbers in the sequence number field 215.

Information from the selected entry 210, such as, for example, the NLIP and IPdelta, is then loaded into micro-branch register 330 (step 510). Simultaneously, the recirculation register 310 is loaded with information from selected fields of the TBIT 142 entry (step 515). For example, the NLIP, BLIP, branch prediction bit, IPdelta and MSISUE are loaded into recirculation register 310 in this embodiment.

Next, micro-code sequencer 135 provides the appropriate micro-branch misprediction micro-code to allocation unit 140 for execution (step 520). In particular, micro-code sequencer 135 provides to allocation unit 140 particular micro-ops associated with micro-branch misprediction recovery. Allocation unit 140 assigns each micro-op a sequence number, and transmits each sequence number and uip to multiplexer 315. For each micro-op, micro-code sequencer 135 controls the multiplexor 315 in such a manner as to store the information from recirculation register 310 and the information provided by allocation unit 140 (sequence number and uip) in individual entries in TBIT 142. This stored information may be needed if the processor events on one of the micro-code micro-ops.

Finally, after the branch misprediction recovery micro-code is executed, micro-code sequencer 135 reads micro-branch register 330 and determines which macro-code instruction should be executed once the machine recovers based on, for example, the information stored in micro-branch register 330 (step 525).